Authentication

The authentication service is concerned with assuring that a communication is authentic. In the case of a single message, such as a warning or alarm signal, the function of the authentication service is to assure the recipient that the message is from the source that it claims to be from. In the case of an on going interaction, such as the connection of a terminal to a host, two aspects are involved. First, at the time of connection initiation, the service assures that the two entities are authentic, that is, that each is the entity that it claims to be. Second, the service must assure that the connection is not interfered with in such a way that a third party can masquerade as one of the two legitimate parties for the purposes of unauthorized transmission or reception.

Access Control

In the context of network security, access control is the ability to limit and control the access to host systems and applications via communications links. To achieve this, each entity trying to gain access must first be identified, or authenticated, so that access rights can be tailored to the individual.

Data Confidentiality

Confidentiality is the protection of transmitted data from passive attacks. With respect to the content of a data transmission, several levels of protection can be identified. The broadest service protects all user data transmitted between two users over a period of time.

Data Integrity

As with confidentiality, integrity can apply to a stream of messages, a single message, or selected fields within a message. Again, the most useful and straightforward approach is total stream protection.

A connection-oriented integrity service, one that deals with a stream of messages, assures that messages are received as sent, with no duplication, insertion, modification, reordering, or replays. The destruction of data is also covered under this service. Thus, the connection-oriented integrity service addresses both message stream modification and denial of service. On the other hand, a connectionless integrity service, one that deals with individual messages without regard to any larger context, generally provides protection against message modification only.

Nonrepudiation

Nonrepudiation prevents either sender or receiver from denying a transmitted message. Thus, when a message is sent, the receiver can prove that the alleged sender in fact sent the message. Similarly, when a message is received, the sender can prove that the alleged receiver in fact received the message.

Symmetric Cipher Model

A symmetric encryption scheme has five ingredients (Figure 3):

- **Plaintext:** This is the original intelligible message or data that is fed into the algorithm as input.
- **Encryption algorithm:** The encryption algorithm performs various substitutions and transformations on the plaintext.
- **Secret key:** The secret key is also input to the encryption algorithm. The key is a value independent of the plaintext and of the algorithm. The algorithm will produce a different output depending on the specific key being used at the time. The exact substitutions and transformations performed by the algorithm depend on the key.
- **Ciphertext:** This is the scrambled message produced as output. It depends on the plaintext and the secret key. For a given message, two different keys will produce two different ciphertexts. The ciphertext is an apparently random stream of data and, as it stands, is unintelligible.
- **Decryption algorithm:** This is essentially the encryption algorithm run in reverse. It takes the ciphertext and the secret key and produces the original plaintext.

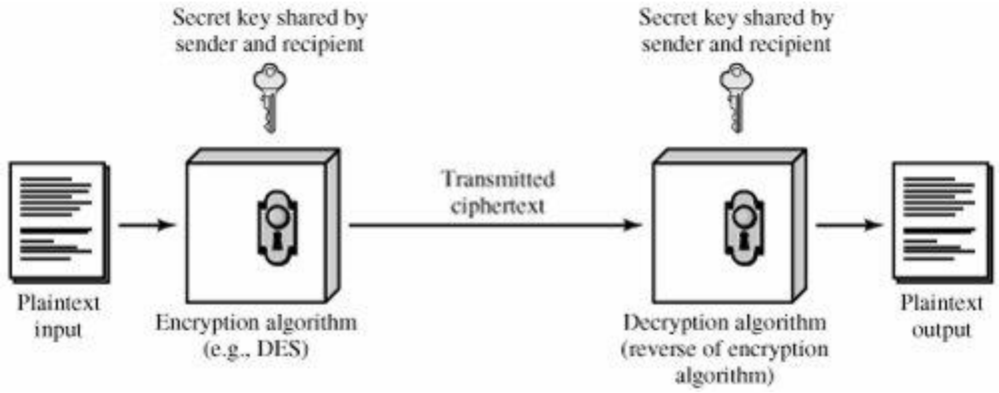
Secret key shared by sender and recipient
Secret key shared by sender and recipient
Transmitted ciphertext
Plaintext input
Encryption algorithm (e.g., DES)
Decryption algorithm (reverse of encryption algorithm)
Plaintext output

Figure (3) Simplified Model of Conventional Encryption

We need a strong encryption algorithm. At a minimum, we would like the algorithm to be such that an opponent who knows the algorithm and has access to one or more ciphertexts would be unable to decipher the ciphertext or figure out the key. This requirement is usually stated in a stronger form: The opponent should be unable to decrypt ciphertext or discover the key even if he or she is in possession of a number of ciphertexts together with the plaintext that produced each ciphertext. Sender and receiver must have obtained copies of the secret key in a secure fashion and must keep the key secure. If someone can discover the key and knows the algorithm, all communication using this key is readable.

Let us take a closer look at the essential elements of a symmetric encryption scheme, using Figure 4.

A source produces a message in plaintext, $X = [X1, X2, ..., XM]$. The M elements of X are letters in some finite alphabet. Traditionally, the alphabet usually consisted of the 26 capital letters. Nowadays, the binary alphabet {0, 1} is typically used. For encryption, a key of the form $K = [K1, K2, ..., KJ]$ is generated. If the key is generated at the message source, then it must also be provided to the destination by means of some secure channel. Alternatively, a third party could generate the key and securely deliver it to both source and destination.

With the message X and the encryption key K as input, the encryption algorithm forms the ciphertext $Y= [Y1, Y2, ..., YN]$. We can write this as

$Y = \mathrm{E}(K, X)$

This notation indicates that Y is produced by using encryption algorithm E as a function of the plaintext X, with the specific function determined by the value of the key *K*. The intended receiver, in possession of the key, is able to invert the transformation:

$X = \mathrm{D}(K, Y)$

An opponent, observing *Y* but not having access to *K* or *X*, may attempt to recover *X* or *K* or both *X* and *K*. It is assumed that the opponent knows the encryption (E) and decryption (D) algorithms. If the opponent is interested in only this particular message, then the focus of the effort is to recover *X* by generating a plaintext estimate. Often, however, the opponent is interested in being able to read future messages as well, in which case an attempt is made to recover *K* by generating an estimate .

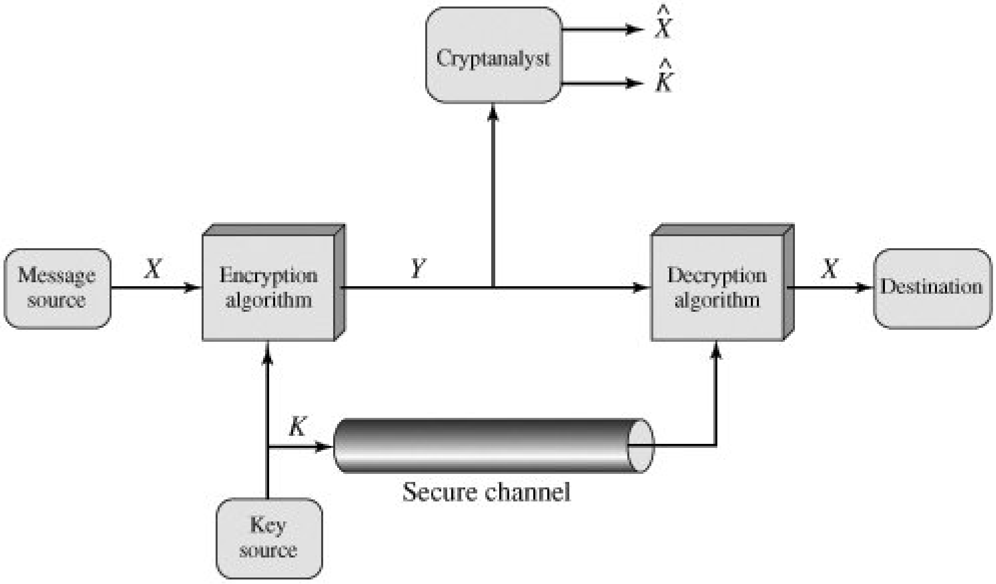

Figure (4) Model of Conventional Cryptosystem

Cryptographic systems are characterized along three independent dimensions:

1. The type of operations used for transforming plaintext to ciphertext. All encryption algorithms are based on two general principles: substitution, in which each element in the plaintext (bit, letter, group of bits or letters) is mapped into another element, and transposition, in which elements in the plaintext are rearranged. The fundamental requirement is that no information be lost (that is, that all operations are reversible). Most systems, referred to as *product systems*, involve multiple stages of substitutions and transpositions.

2.The number of keys used. If both sender and receiver use the same key, the system is referred to as symmetric, single-key, secret-key, or conventional encryption. If the sender and receiver use different keys, the system is referred to as asymmetric, two-key, or public-key encryption.

3.The way in which the plaintext is processed. A *block cipher* processes the input one block of elements at a time, producing an output block for each input block. A *stream cipher* processes the input elements continuously, producing output one element at a time, as it goes along.

Transposition Techniques

A very different kind of mapping is achieved by performing some sort of permutation on the plaintext letters. This technique is referred to as a transposition cipher.

The simplest such cipher is the rail fence technique, in which the plaintext is written down as a sequence of diagonals and then read off as a sequence of rows. For example, to encipher the message "meet me after the toga party" with a rail fence of depth 2, we write the following:

```
m e m a t r h t g p r y
e t e f e t e o a a t
```

The encrypted message is

MEMATRHTGPRYETEFETEOAAT

This sort of thing would be trivial to cryptanalyze. A more complex scheme is to write the message in a rectangle, row by row, and read the message off, column by column, but permute the order of the columns. The order of the columns then becomes the key to the algorithm. For example,

Message :[attack postponed until two]

Key: **4 3 1 2 5 6 7**

```
Plaintext: a t t a c k p
           o s t p o n e
           d u n t i l t
           w o a m x y z
```

Ciphertext: **TTNAAPTMTSUOAODWCOIXKNLYPETZ**

A pure transposition cipher is easily recognized because it has the same letter frequencies as the original plaintext. For the type of columnar transposition just shown, cryptanalysis is fairly straightforward and involves laying out the ciphertext in a matrix and playing around with column positions. Digram and trigram frequency tables can be useful.

The transposition cipher can be made significantly more secure by performing more than one stage of transposition. The result is a more complex permutation that is not easily reconstructed. Thus, if the foregoing message is re-encrypted using the same algorithm,

Key: **4 3 1 2 5 6 7**
Input: t t n a a p t
m t s u o a o
d w c o i x k
n l y p e t z
Output: **NSCYAUOPTTWLTMDNAOIEPAXTTOKZ**