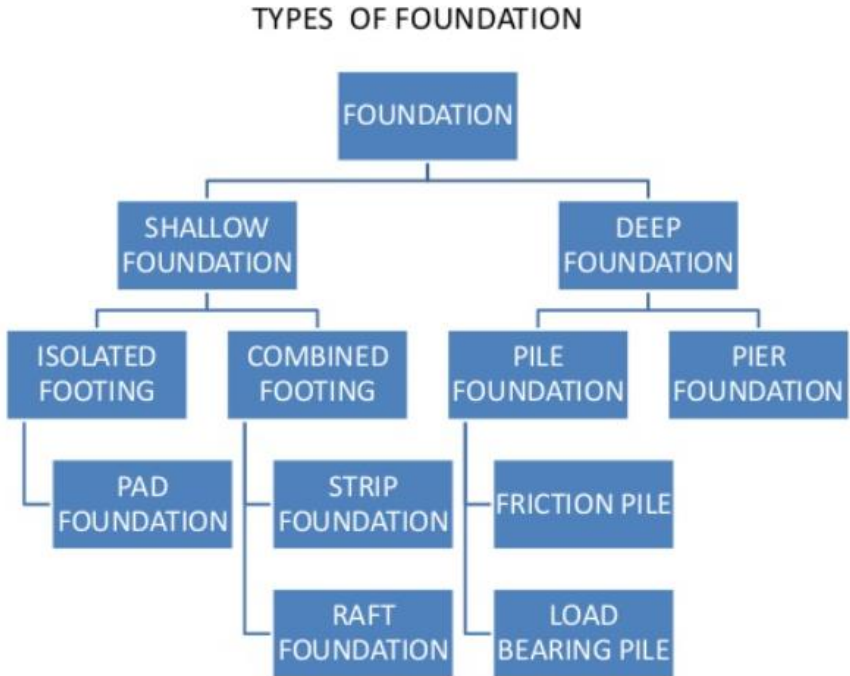


# Shallow Foundation Settlement

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## Introduction

*Foundation settlements must be estimated with great care for buildings, bridges, towers, power plants, and similar high-cost structures.*

*For structures such as fills, earth dams, levees, braced sheeting, and retaining walls a greater margin of error in the settlements can usually be tolerated.*

3

## Introduction

*The settlement of a shallow foundation can be divided into two major categories: (a) **elastic, or immediate, settlement** and (b) consolidation settlement. Immediate, or elastic, settlement of a foundation takes place during or immediately after the construction of the structure.*

4

## Introduction

*Consolidation settlement* or those that are time-dependent and take months to years to develop.

*Pore water is extruded from the void spaces of saturated clayey soils submerged in water.*

*The total settlement of a foundation is the sum of the elastic settlement and the consolidation settlement.*

5

## Introduction

*Consolidation settlement comprises two phases: primary and secondary.*

*Secondary consolidation settlement occurs after the completion of primary consolidation caused by slippage and reorientation of soil particles under a sustained load.*

6

## Introduction

*Primary consolidation settlement is more significant than secondary settlement in inorganic clays and silty soils. However, in organic soils, secondary consolidation settlement is more significant.*

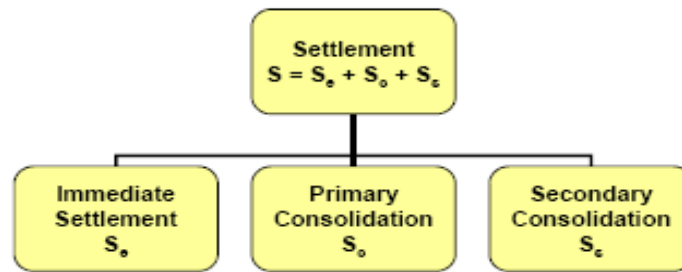
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## Immediate settlement

*Immediate settlement analyses are used for all fine-grained soils including silts and clays with a degree of saturation  $S \leq 90$  percent and for all coarse-grained soils with a large coefficient of permeability.*

8

# Settlement



**Immediate Settlement:** Occurs immediately after the construction. This is computed using elasticity theory (Important for Granular soil)

**Primary Consolidation:** Due to gradual dissipation of pore pressure induced by external loading and consequently expulsion of water from the soil mass, hence volume change. (Important for Inorganic clays)

**Secondary Consolidation:** Occurs at constant effective stress with volume change due to rearrangement of particles. (Important for Organic soils)

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For any of the above mentioned settlement calculations, we first need vertical stress increase in soil mass due to net load applied on the foundation

9

## Foundation Settlement:

Total Foundation Settlement = Elastic Settlement + Consolidation Settlement

$$S_{\text{total}} = S_e + S_c$$

Elastic Settlement or Immediate Settlement depends on  $\left\{ \begin{array}{l} \text{Foundation Type (Rigid; Flexible)} \\ \text{Settlement Location (Center or Corner)} \end{array} \right.$

Elastic Settlement  $\left\{ \begin{array}{l} \text{Theory of Elasticity} \\ \text{Time Depended Elastic Settlement (Schmertman \& Hartman Method (1978))} \end{array} \right.$

Elastic settlement occurs in sandy, silty, and clayey soils.

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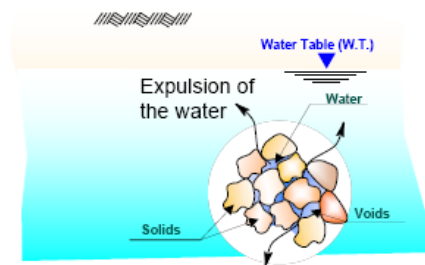
## Consolidation Settlement (Time Dependent Settlement)

- \* Consolidation settlement occurs in cohesive soils due to the expulsion of the water from the voids.
- \* Because of the soil permeability the rate of settlement may varied from soil to another.
- \* Also the variation in the rate of consolidation settlement depends on the boundary conditions.

$$S_{\text{Consolidation}} = S_{\text{primary}} + S_{\text{secondary}}$$

Primary Consolidation          Volume change is due to reduction in pore water pressure

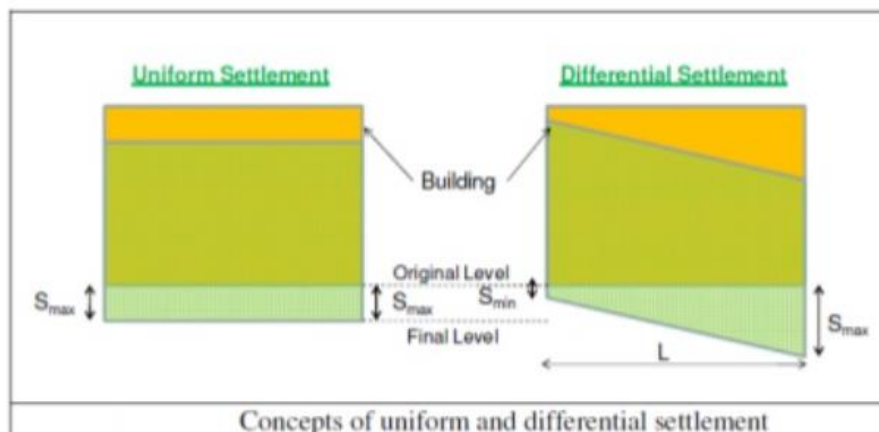
Secondary Consolidation      Volume change is due to the rearrangement of the soil particles  
(No pore water pressure change,  $\Delta u = 0$ , occurs after the primary consolidation)



11

## Definitions

- ✓ Settlement is the vertically downward movement of structure due to the compression of underlying soil because of increased load.

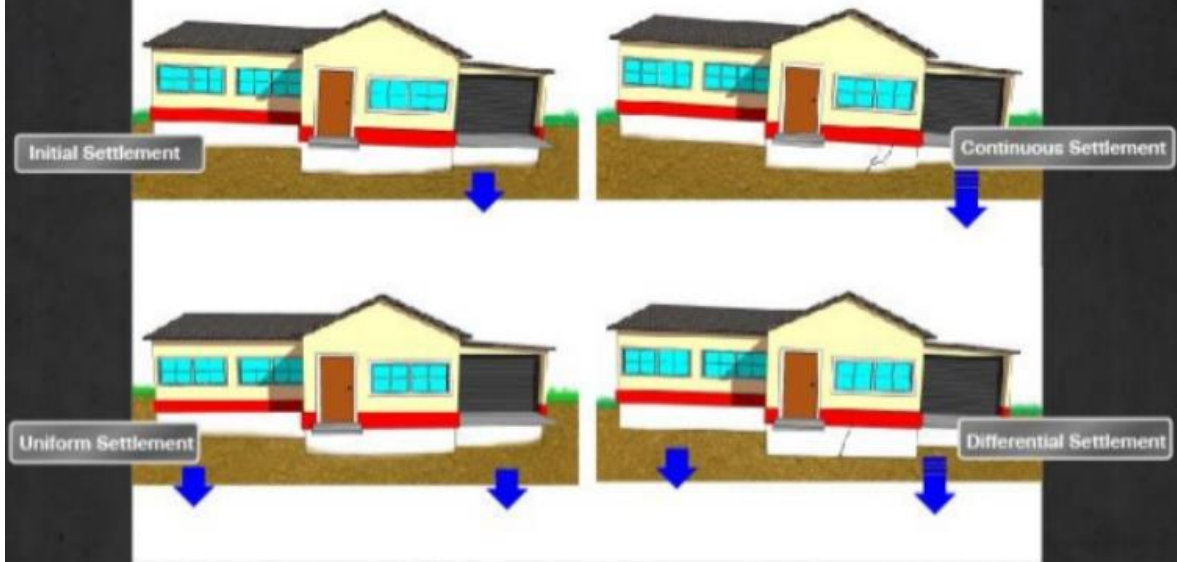


- **Maximum Settlement** : It is the absolute maximum downward movement of any part of building element.
- **Maximum Settlement =  $S_{\text{max}}$**

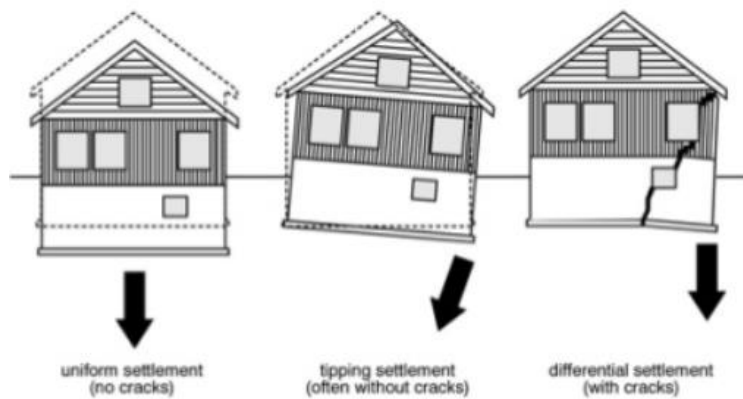
- **Differential Settlement** : It is the maximum difference between two points in a building element.
- **Differential Settlement =  $S_{\text{max}} - S_{\text{min}}$**

12

# Types of Foundation Settlement



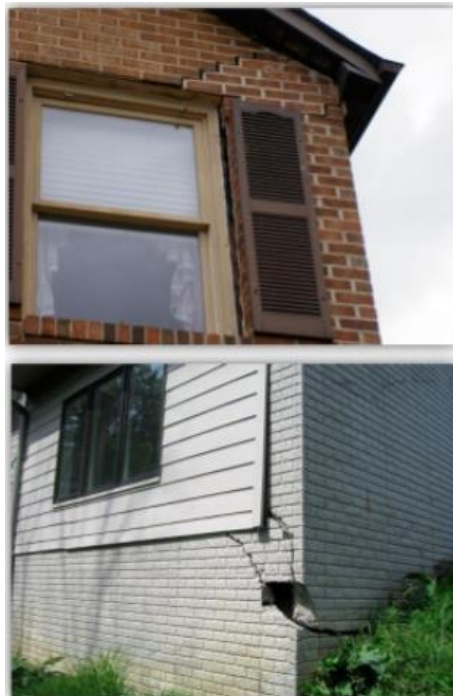
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#### Common Crack Locations

**Foundation cracks** commonly form at points where there is a hole or gap in the foundation wall, such as along windows or door openings.

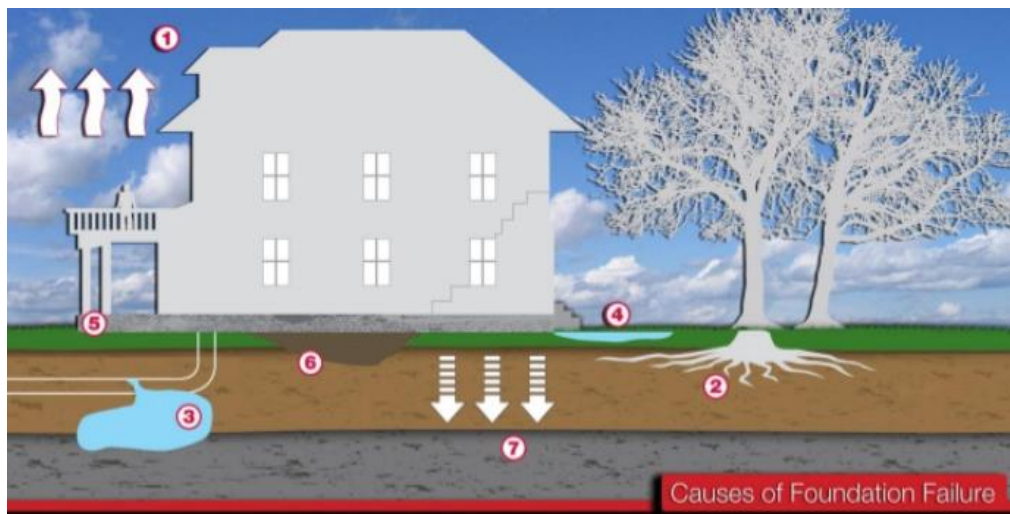
When **foundation piers** are installed, the cracks will no longer spread. In some cases, the piers can even be used to lift the foundation and close the crack permanently.

16



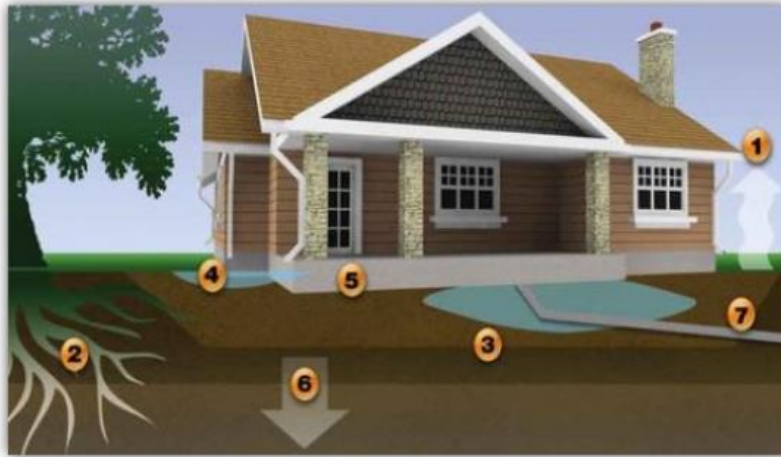


17



18

## Several things cause a foundation to fail



19

**1. Evaporation:** Hot dry wind and intense heat will often cause the soil to shrink beneath the foundation.

This settlement may cause cracks to appear throughout the structure.

**2. Transpiration:** Tree roots may desiccate the soil beneath a home causing the soil to shrink and the home to settle.

**3. Plumbing Leaks:** Water from plumbing leaks is often a cause to foundation problems.

**4. Drainage:** Improper drainage is one of the leading causes to foundation failure. Excess moisture will erode or consolidate soils and cause settlement.

**5. Inferior Foundation Construction:** Insufficient steel and inferior concrete will contribute to movement in the slab.

**6. Inferior Ground Preparation:** Soft, low density soils and/or improperly compacted soil beneath a home is the leading cause of foundation failure. Cut and fill methods are a leading cause of foundation settlement.

**7. Poor Soil conditions:** Poor soil and its expansion and/or contraction contribute to foundation failure.

20



21



22

# Signs of foundation failure



## INSIDE

Signs on the inside of the house that indicate foundation problems may include:

1. Misaligned doors and windows
2. Cracks in the sheetrock
3. Doors and windows that are sticking
4. Sloping of the floor
5. Cracks in the floor or tile



## OUTSIDE

Signs on the outside of the house that indicate foundation problems may include:

1. Cracks in the brick
2. Gaps around the doors and windows
3. Cracks in the foundation
4. Fascia board pulling away

23



## GARAGE

Signs in the garage that indicate foundation problems may include:

1. Separation from door
2. Wall rotating out
3. Cracked brick



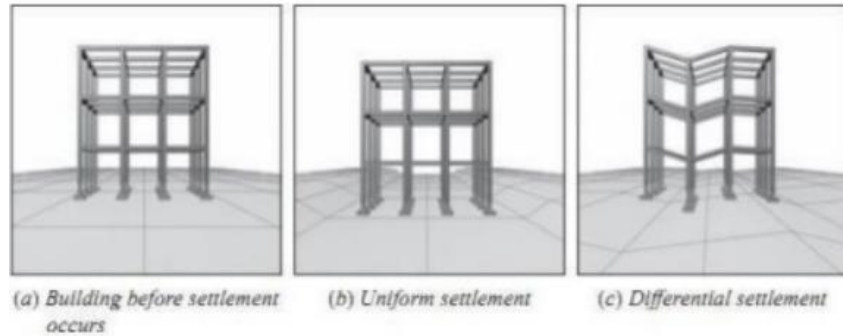
## BASEMENT

Signs in the basement that indicate foundation problems may include:

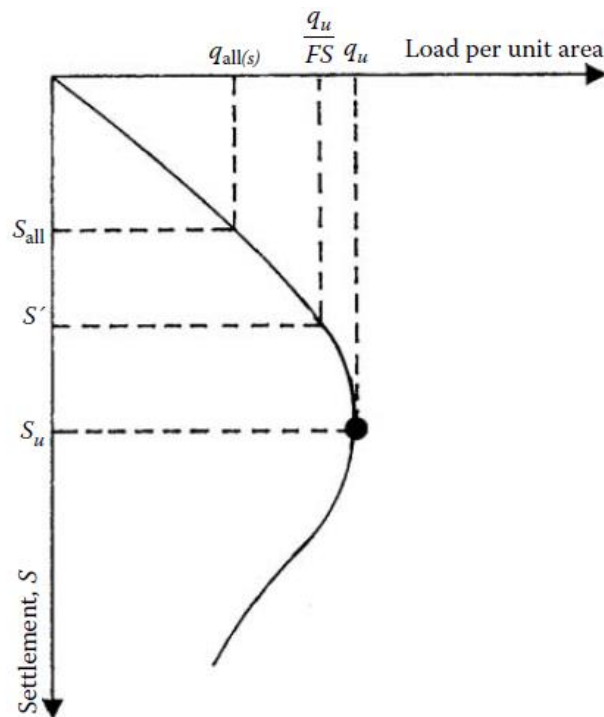
1. Walls leaning in or out
2. Cracks in the wall
3. Water intrusion

24

✓ When all parts of a building rest on the same kind of soil, and the loads on the building and the design of its structural system are uniform throughout, differential settlement is normally not a concern. However where soils, loads, or structural systems differ between parts of a building, different parts of the building structure may settle by substantially different amounts, the frame of the building may become distorted, floors may slope, walls and glass may crack, and doors and windows may not work properly.



25



Load-settlement curve for shallow foundation.

26

## COMPONENTS OF TOTAL SETTLEMENT

1. Immediate settlement ( $S_i$ )
2. Primary consolidation settlement ( $S_c$ )
3. Secondary consolidation settlement ( $S_{sc}$ )

## METHODS OF COMPUTING IMMEDIATE SETTLEMENT

Immediate Settlement Based on the Theory of Elasticity

Schmertmann's Method (1978): Use of Strain Influence Factor

Bjerrum's Method for Average Settlement of Layered Clay Soil

## PRIMARY CONSOLIDATION SETTLEMENT

Secondary consolidation settlement

## 3-DIMENSIONAL CONSOLIDATION

## DEGREE OR RATE OF SETTLEMENT

27

## Immediate settlement

*Settlement Based on the Theory of Elasticity*

*The elastic settlement of a shallow foundation can be estimated by using the theory of elasticity.*

*From Hooke's law we obtain*

$$S_e = \int_0^H \varepsilon_z dz = \frac{1}{E_s} \int_0^H (\Delta\sigma_z - \mu_s \Delta\sigma_x - \mu_s \Delta\sigma_y) dz$$

*Where:  $S_e$  = elastic settlement     $H$  = thickness of soil layer*

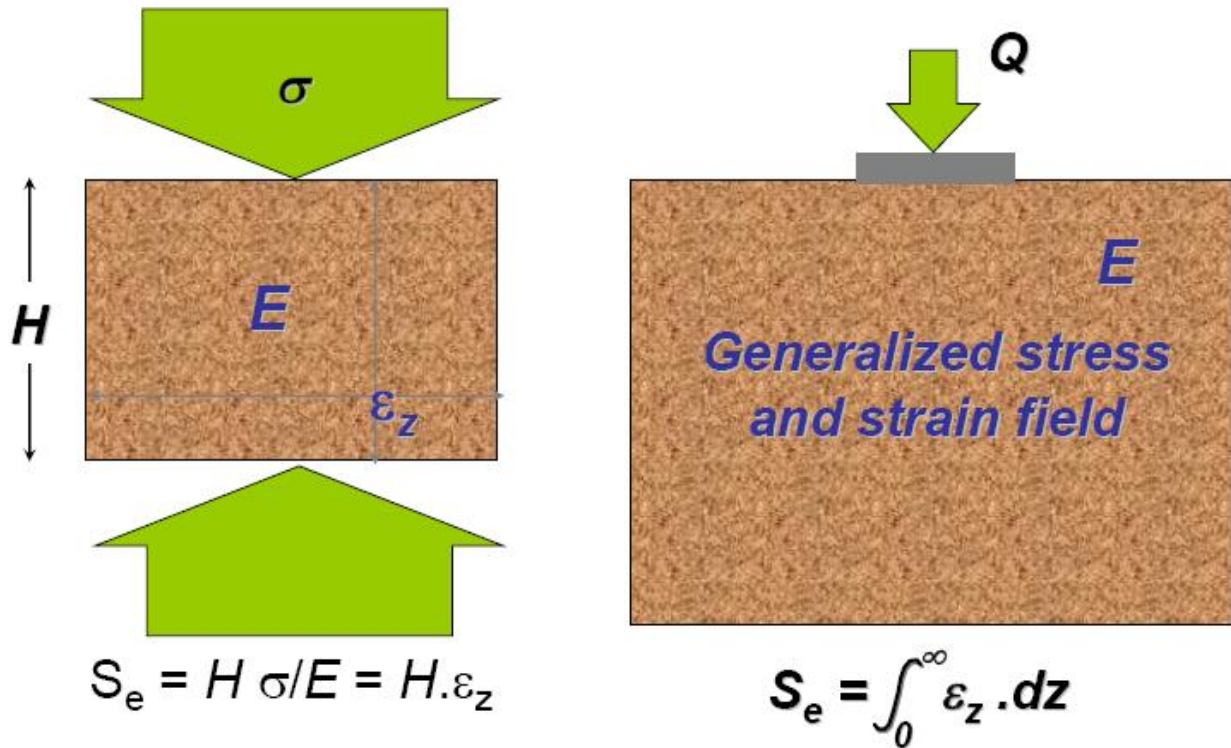
*$E_s$  = modulus of elasticity of soil*

*$\mu_s$  = Poisson's ratio of the soil*

*$\Delta\sigma_z, \Delta\sigma_x, \Delta\sigma_y$  = stress increase due to the net applied foundation load in the  $x, y,$  and  $z$  directions, respectively*

28

# Elastic Settlement



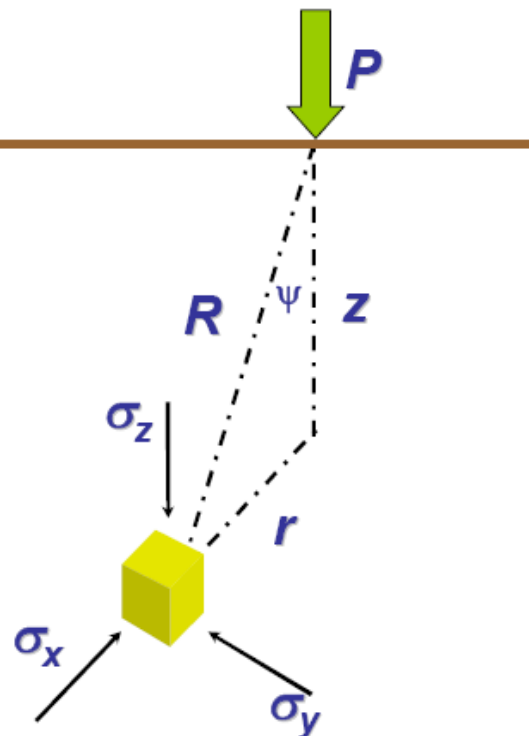
## Stress Due to a Concentrated Load

- Boussinesq solution

$$\Delta\sigma = \frac{3P}{2\pi z^2 \left[ 1 + \left( \frac{r}{z} \right)^2 \right]^{5/2}}$$

where

$$r = \sqrt{x^2 + y^2}$$



Section 3.16 of Das Eq. 3.93

# Stress due to a Circularly Loaded Area

For the incremental area shown settlement at the centre can be calculated as:

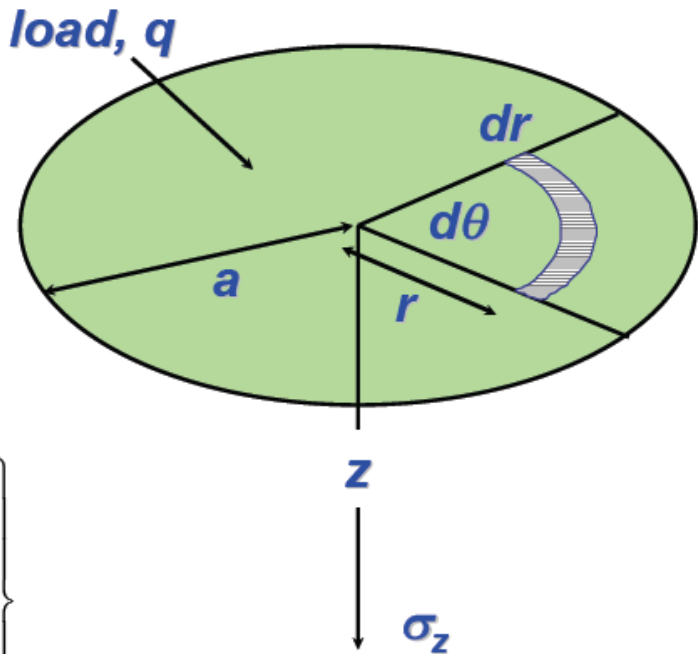
Load on incremental area

$$d\sigma = \frac{q_o r d\theta dr}{2\pi z^2 \left[ 1 + \left( \frac{r}{z} \right)^2 \right]^{5/2}}$$

After the double integration

At Centre :

$$\Delta\sigma = q \left\{ 1 - \frac{1}{\left[ 1 + \left( \frac{B}{2z} \right)^2 \right]^{3/2}} \right\}$$



Section 3.16 of Das Eq. 3.95

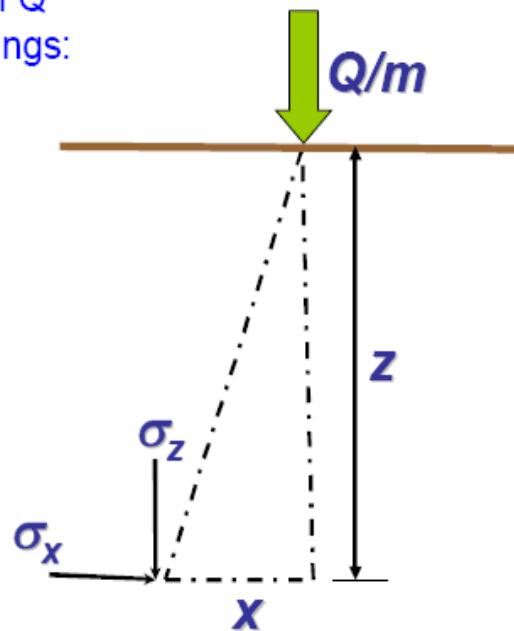
## Distribution of Stress (from a vertical line load)

The stresses at point X due to a line load of Q per unit length on the surface are as followings:

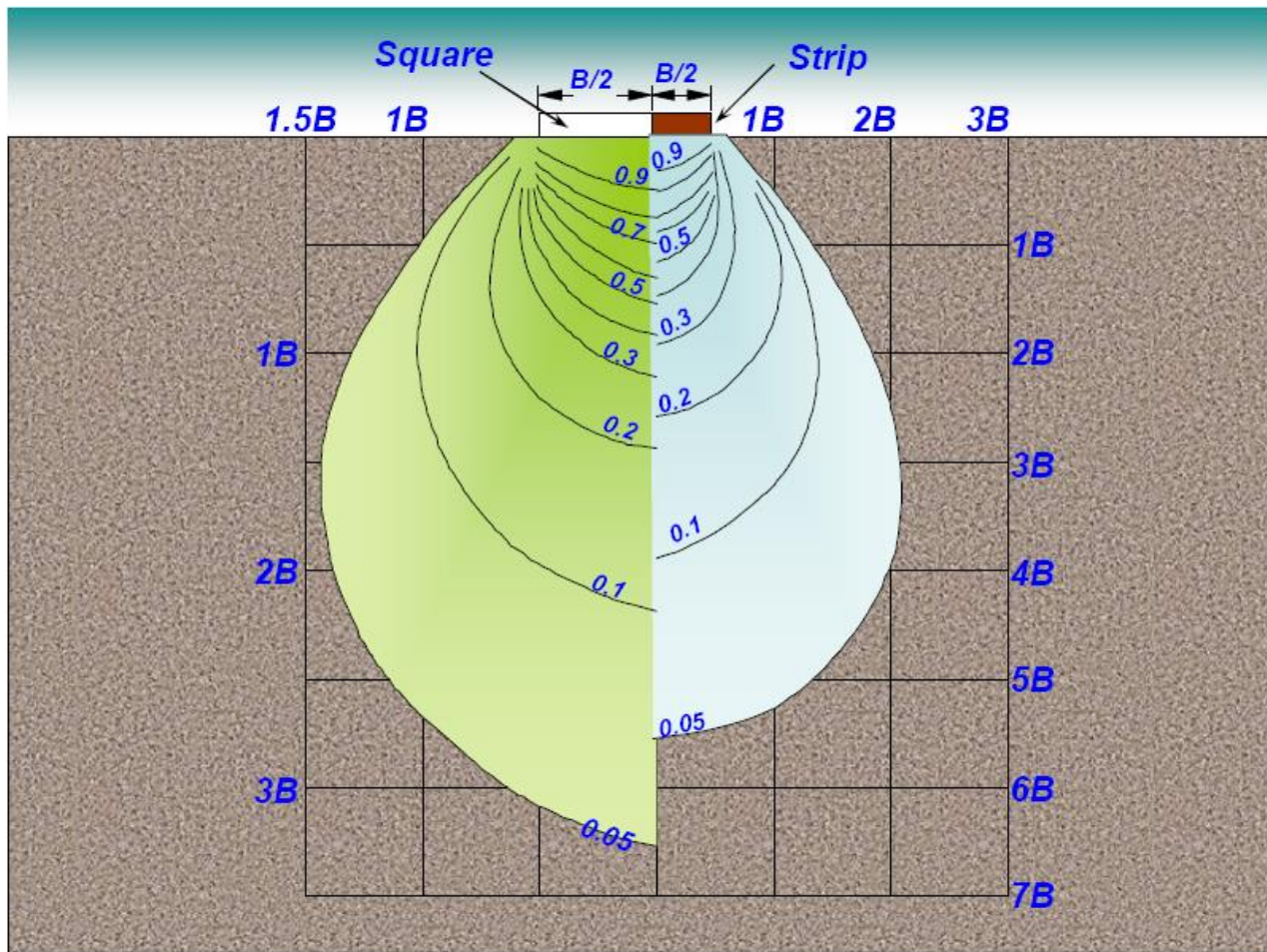
$$\sigma_z = \frac{2Q}{\pi} \frac{Z^3}{(x^2 + Z^2)^2}$$

$$\sigma_x = \frac{2Q}{\pi} \frac{x^2 Z}{(x^2 + Z^2)^2}$$

$$\tau_{xy} = \frac{2Q}{\pi} \frac{xZ^2}{(x^2 + Z^2)^2}$$





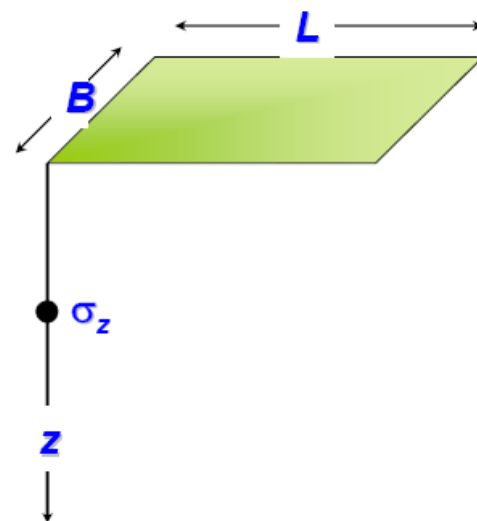


## Stress below a Rectangular Area

- Solution after Newmark for stresses under the corner of a uniformly loaded flexible rectangular area:
- Define  $m = B/z$  and  $n = L/z$
- Solution by charts or numerically

$$\Delta\sigma = q_0 \cdot I$$

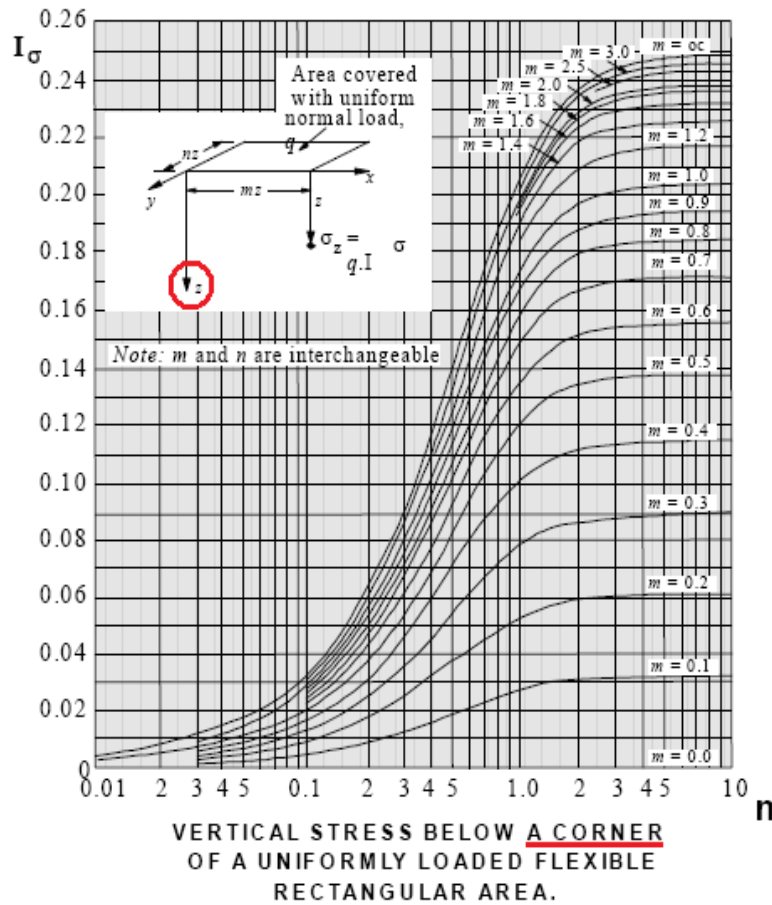
*(It must be emphasised that the chart gives the stresses under the corner of uniformly load flexible rectangular area.)*



Section 3.16 of Das Eq. 3.99

$$I = \frac{1}{4\pi} \left[ \frac{2mn(m^2+n^2+1)^{1/2}}{m^2+n^2-m^2n^2+1} \cdot \frac{m^2+n^2+2}{m^2+n^2+1} + \tan^{-1} \frac{2mn(m^2+n^2+1)^{1/2}}{m^2+n^2-m^2n^2+1} \right]$$

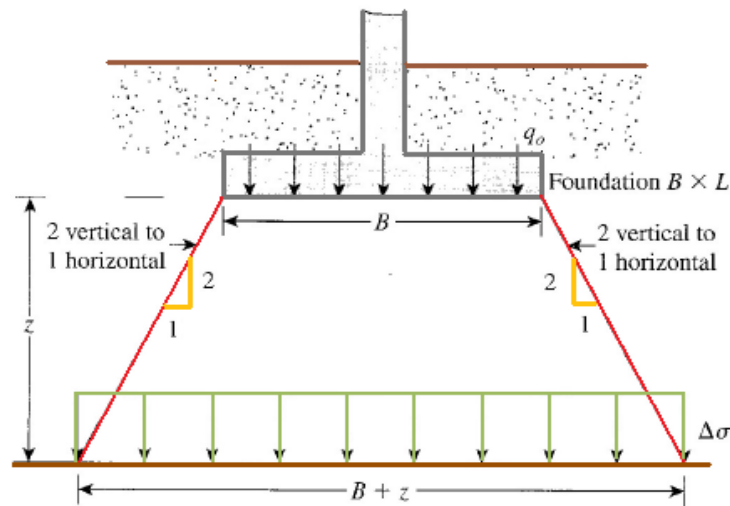
# Stress Influence Chart



35

## Approximate methods

### 2:1 method



2:1 method of finding stress increase under a foundation

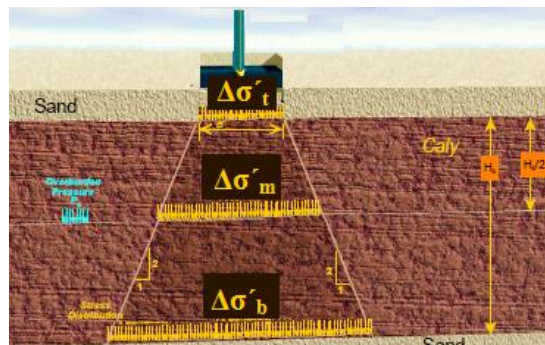
$$\Delta\sigma = \frac{q_o BL}{(B+z)(L+z)}$$

36

# Approximate methods

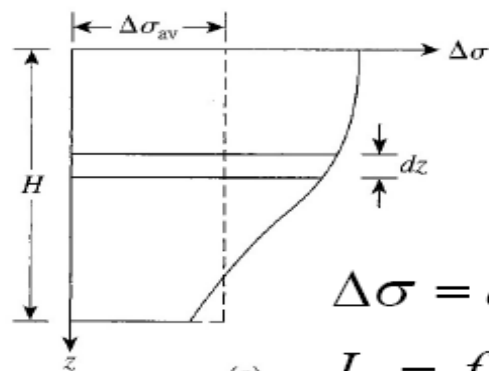
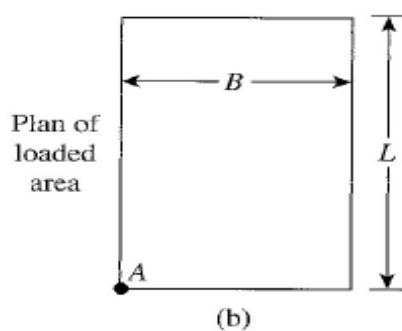
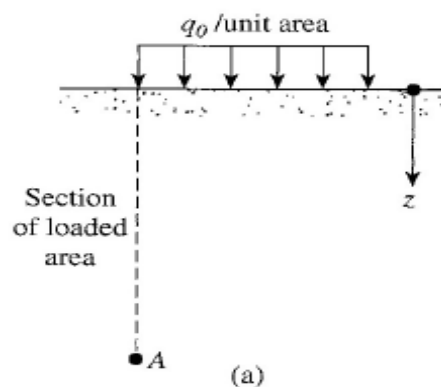
$$\Delta\sigma'_{av} = \frac{1}{6}(\Delta\sigma'_t + 4\Delta\sigma'_m + \Delta\sigma'_b)$$

where  $\Delta\sigma'_t$ ,  $\Delta\sigma'_m$ , and  $\Delta\sigma'_b$  are, respectively, the effective pressure increases at the *top*, *middle*, and *bottom* of the clay layer that are caused by the construction of the foundation.



37

## Average Vertical Stress Increases Due to a Rectangularly Loaded Area



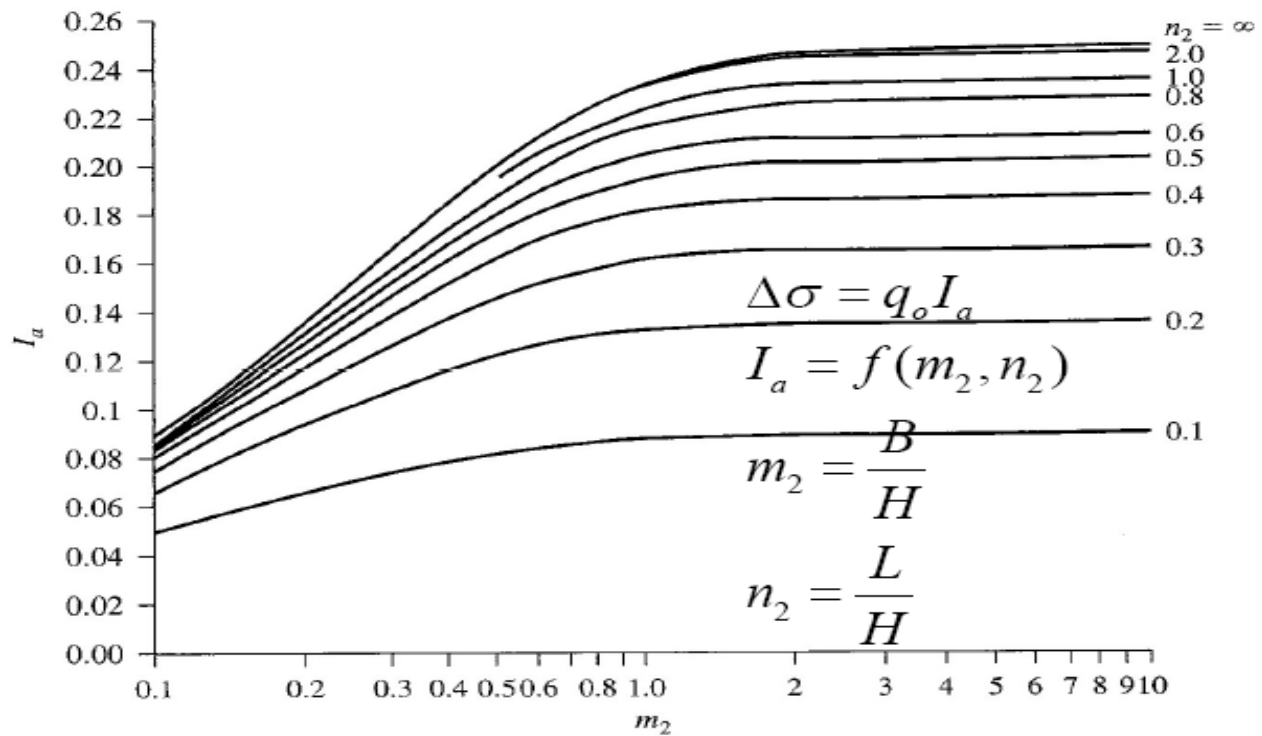
$$\Delta\sigma = q_0 I_a$$

$$I_a = f(m_2, n_2)$$

$$m_2 = \frac{B}{H}$$

$$n_2 = \frac{L}{H}$$

# Average Vertical Stress Increases Due to a Rectangularly Loaded Area



# Average Vertical Stress Increases in a given layer

