1. Carbanions I

1.1 Introduction

Carbanions are negatively charged ions in which one of its carbon atoms possess three bonds and an unshared pair of electrons, sp³ hybridized, have pyramidal structures, forms by heterolysis cleavage of covalent bond in organic compounds in presence strong base. The negative charge gives good nucleophilic properties to the unit that can be used in the formation of new carbon bonds. Carbanions thus act as nucleophile.

Presence withdrawing groups caused delocalized the negative charge and stabilized the carbanion by Inductive Effects .

While presence donating groups attachment at carbanion localized the negative charge which caused destabilized the carbanion.

We saw how aldehydes and ketones can undergo nucleophilic addition at their carbonyl groups. For example:

And substitution could occur at a carbonyl group if a suitable leaving group is present. This type of reaction is called acyl substitution For example:

$$H-Nu = -OH, -OR, -Cl, -NH_2, \dots etc$$

Reactions can also occur at the α carbon to the carbonyl group, Greek letters are used to describe the proximity of each carbon atom to the carbonyl group.

$$\beta$$
 α
 β
 α
 δ

1.2 Acidity of Aldehydes and Ketones: Enolate Ions Acidity of α-hydrogens:

A hydrogen bonded to carbon adjacent to a carbonyl carbon is much more acidic than hydrogens bonded to other carbons. For example, the pKa for dissociation of an α -hydrogen from an aldehyde or a ketone ranges from 16 to 20, and the pKa for dissociation of an α -hydrogen from an ester is about 25.

A compound that contains a relatively acidic hydrogen bonded to carbon is called a carbon acid.

HHHHH

Acidic protons

$$\alpha$$
-Hydrogen p $K_a \approx 16-21$
 α -Carbon (Potentially nucleophilic)

 α -Carbon (Potentially nucleophilic)

The carbonyl group strengthens the acidity of the hydrogen atoms attached to the α -carbon and, since it act as an electron withdrawing group due to the presence of a highly electronegative oxygen atom.

Thus, the presence of a base with a carbonyl compound that possesses an α -hydrogen will lead to ionization of the latter as the following:

$$\alpha$$
-carbon

C C C + :B

Base

 α -hydrogen

Enolate anion
(carbanion)

This carbanion is a resonance hybrid of two structures (I and II):

$$\begin{bmatrix} -\begin{matrix} \downarrow \\ -C \\ \bigcirc \end{matrix} & \begin{matrix} \downarrow \\ O \end{matrix} & \begin{matrix} \downarrow \\ O \\ \bigcirc \end{matrix} \end{bmatrix} equivalente to -\begin{matrix} \downarrow \\ -C \\ \bigcirc \end{matrix} & \begin{matrix} \downarrow \\ O \\ \bigcirc \end{matrix} & OH \end{bmatrix}$$
II

Resonance is possible only through participation by the carbonyl group.

Resonance of this kind is not possible for carbanions formed by ionization of β -hydrogens, γ -hydrogens, etc., from saturated carbonyl compounds.

Hydroxide ion is the most common base that used in these reactions and in some cases strong bases can be used like aluminum tertiary butoxide.

The carbonyl group affect on the acidity of α -hydrogen in the same way that it affects on the acidity of the carboxylic acids by accommodate the negative charge of the anion as the following:

$$-c \xrightarrow{O-H} -c \xrightarrow{O} \ominus + H$$

In spite of the fact that the α -hydrogen of an aldehyde or ketone are very weak acidic compared with carboxylic acids, but they are acidic enough to be abstracted by a base to generate carbanions.

Note: Carbanions that stabilized by carbonyl carbon is known as enolate anion, since the formed anion is not only in the keto form, but also in the enol form:

$$H_3C$$
— C — CH_3 + :B H_3C — C — CH_2 + H:B H_3C — C = CH_2 + :B

Why are aldehydes and ketones relatively acidic?

We know that acid strength is enhanced by stabilization of the conjugate base. In the enolate ion, the inductive effect of the positively polarized carbonyl carbon strongly stabilizes the negative charge at the α -position. Aldehydes are stronger acids than ketones because their carbonyl carbon bears a larger partial positive charge. Further strong stabilization is provided by delocalization of charge onto the electronegative oxygen.

Why aldehydes and ketones are more acidic than esters?

The electrons left behind when an α -hydrogen is removed from an ester are not as readily delocalized onto the carbonyl oxygen as are the electrons left behind when an α -hydrogen is removed from an aldehyde or a ketone. Because a lone pair on the oxygen of the OR group of the ester can also be delocalized onto the carbonyl oxygen, the two pairs of electrons compete for delocalization onto oxygen:

The keto and enol forms of carbonyl compounds are constitutional isomers, but of a special type. Because they are easily interconverted by proton transfers in the presence of an acid or base,

• Interconvertible keto and enol forms are called tautomers, and their interconversion is called tautomerization.

In general, the position of equilibrium will significantly favor the ketone, as seen in the following example:

In some cases, the enol tautomer is stabilized and exhibits a more substantial presence at equilibrium. Consider, for example, the enol form of a beta-diketone, such as 2,4-pentanedione.

The equilibrium depend on the solvent that is used, but the enol generally dominates. Because:

(1) The enol has a conjugated π system, which is a stabilizing factor, and (2) the enol can form an intramolecular H-bonding interaction. Both of these factors serve to stabilize the enol.

An enol equilibrates with its keto form in acidic or basic solution

Base-Catalyzed Enol–Keto Equilibration

$$C = C \qquad + : B^{-} \iff \begin{bmatrix} \ddot{C} - C & \ddot{C} \\ \ddot{C} - C & \ddot{C} \end{bmatrix} + BH \iff -C - C + : B^{-}$$
Enolate ion
$$Enolate ion$$
Enolate ion

Acid-Catalyzed Enol-Keto Equilibration

$$C = C \qquad + \qquad H^{+} \iff \begin{bmatrix} H & \ddot{O}H & H & \ddot{O}H \\ -C & & & -C & -C \end{bmatrix} \iff -C - C + \qquad H^{+}$$
Enol form
$$Enol form \qquad Protonated carbonyl system \qquad Keto form$$

1.3 Reactions involving carbanions:

The carbanions are highly basic, exceedingly reactive particles. In their reactions they behave as we would expect: as nucleophiles.

As nucleophiles, carbanions can attack carbon and, in doing so, form carbon-carbon bonds.

1.3.1 Halogenation of ketones:

Ketones that contain α -hydrogen can be halogenated at α -carbon, since the reaction of an aldehyde or ketone with halogen (Cl₂, Br₂, I₂ but not F₂) will afford the α -halo product. The reaction proceeded in either basic ro acidic medium.

a) Acid-Catalyzed -Halogenation of Ketones

In the presence of acid, halogenation usually stops after the first halogen has been introduced, as shown in the following example.

The rate-determining reaction here is the formation of the enol, which involves two steps: rapid, reversible protonation (step 1) of the carbonyl oxygen, followed by the slow loss of an α -hydrogen. Once formed, the enol reacts rapidly with halogen (step2):

Mechanism of the **Acid-Catalyzed** Bromination of Acetone

Step 1. Enolization (rate determining)

Step 2. Halogen attack

H₂C = C
$$\stackrel{\bullet}{C}H_3$$
 $\stackrel{\bullet}{fast}$ $\stackrel{\bullet}{H_2}C - \stackrel{\bullet}{C}C + \stackrel{\bullet}{C}H_3$ $\stackrel{\bullet}{H_2}C - \stackrel{\bullet}{C}C + \stackrel{\bullet}{C}H_3$ $\stackrel{\bullet}{H_2}C - \stackrel{\bullet}{C}C + \stackrel{\bullet}{Br}C + \stackrel{$

Step 3. Deprotonation

$$+: O$$
 $+: O$
 $+: O$

Evidence for the mechanism includes the observation that acid-catalyzed halogenations show second-order kinetics, the reaction rate depends on the concentration of acetone and the acid, but is independent on bromine concentration. and follow the rate law:

Reaction rate =
$$k$$
 [Ketone] [H⁺]

Why is further halogenation retarded?

The electron-withdrawing power of the halogen makes protonation, the initial step in enolization, *more difficult* than in the original carbonyl compound.

Halogenation Slows Down Enolization

b) Base-promoted halogenations of ketones:

Acetone reacts with bromine to form bromoacetone; the reaction accelerated by base (e.g. hydroxide ion, acetate ion, etc.).

$$CH_{3}COCH_{3} + Br_{2} + :B^{-} \longrightarrow CH_{3}COCH_{2}Br + Br^{-} + H:B$$

$$Base$$

Kinetic study of the reaction shows that the reaction rate depends on the concentration of acetone and the base, but is independent on bromine concentration.

rate=
$$k$$
 [acetone][:B]

Base-mediated halogenation is entirely different. It proceeds instead by the formation of an enolate ion, which then attacks the halogen. Here the reaction continues until it *completely* halogenates the same α -carbon, leaving unreacted starting material (when insufficient halogen is employed).

Mechanism of the base-Catalyzed Bromination of Acetone

Step 1. Deprotonation of the α -carbon forms the enolate ion

$$-\overset{\text{H}}{\overset{\circ}{\text{C}}} \overset{\circ}{\overset{\circ}{\text{C}}} : + : B^{-} \xrightarrow{} \overset{\text{\Box}}{\overset{\circ}{\text{C}}} \overset{\circ}{\overset{\circ}{\text{C}}} : \overset{\circ}{\overset{\circ}{\text{C$$

Step 2. The enolate ion attacks the electrophilic halogen.

$$C = CH_2 + Br - Br$$

$$R = RCCH_2Br + Br$$

The base abstract a proton slowly (step1) from acetone to form carbanion, which then react with bromine to give bromoacetone (step 2).

Step 1 (generation of carbanion) is the rate determining step (r.d.s).

Why is base-catalyzed halogenation so difficult to stop at the stage of mono halogenation?

The electron-withdrawing power of the halogen increases the acidity of the remaining α -hydrogens, accelerating further enolate formation and hence further halogenation.

Example:

Note:

- a- The rate of iodination of acetone is the same with bromination, and this indicates that the reaction is independent on the halogen concentration.
- b- Halogenation can be done with other halogenating agents like sulfuryl chloride(SO₂Cl₂) or cupric chloride(CuCl₂) for chlorination and N-bromosuccinimide for bromination.

1.3.2 Aldol Condensation

Under the influence of dilute base or dilute acid, two molecules of an aldehyde or a ketone (that contain α -hydrogen) may combine to form a β -hydroxyaldehyde or β -hydroxyketone, the common name *aldol* (from *ald*ehyde alcoh*ol*). This reaction is called the **aldol additions**.

In every case the product results from addition of one molecule of aldehyde (or ketone) to a second molecule in such a way"that the α -carbon of the first becomes attached to the carbonyl carbon of the second.

Under more severe conditions (higher base or acid concentration, or heat, or both), the product of aldol addition undergoes a dehydration reaction (loses H₂O). The overall reaction is called an **aldol condensation**, forms a *new carbon-carbon double bond*.

The term *condensation* is used to refer to any reaction in which two molecules undergo addition accompanied by the loss of a small molecule such as water, carbon dioxide, or nitrogen gas. In the case of aldol condensations, water is the small molecule that is lost, to give α,β -unsaturated carbonyl compound (enal or enone). For examples:

Note: If the aldehyde or ketone doesn't contain α -hydrogen, a simple aldol condensation cannot take place like:

Benzaldehyde PhCHO or ArCHO Formaldehyde HCHO 2,2-Dimethylpropionaldehyde (CH $_3$) $_3$ CCHO 2,2-Dimethylpropionaldehyde PhCOPh or ArCOAr Tri-substituted ketone ArCOCR $_3$

a) Base-Catalyzed Aldol Condensations

Under basic conditions, the aldol condensation occurs by a nucleophilic addition of the enolate ion (a strong nucleophile) to a carbonyl group. Protonation gives the aldol product.

Mechanism of Aldol Formation

STEP 1. Enolate generation

$$HC = CH_2 + CH$$

STEP 2. Nucleophilic attack

CH₃CH CH₂=C
$$\stackrel{;\circ}{H}$$
 $\stackrel{;\circ}{\longleftrightarrow}$ $\stackrel{\hookrightarrow}{\longleftrightarrow}$ $\stackrel{;\circ}{\longleftrightarrow}$ $\stackrel{;}{\longleftrightarrow}$ $\stackrel{;}{\longleftrightarrow}$ $\stackrel{;}{\longleftrightarrow}$ $\stackrel{;}{\longleftrightarrow}$ $\stackrel{;}{\longleftrightarrow}$ $\stackrel{;}{\longleftrightarrow}$ $\stackrel{;}{\longleftrightarrow}$ $\stackrel{;}{\longleftrightarrow}$ $\stackrel{;}{\longleftrightarrow}$ $\stackrel{\hookrightarrow$

In step1, Hydroxide ion abstract a hydrogen ion from the α -carbon to form carbanion (I),which attacks carbonyl group in step2 to form ion (II). In step3, ion (II) an alkoxide, abstracts a hydrogen ion from water to form β -hydroxyaldehyde (III) and regenerate the hydroxide ion.

Illustrate these steps for:

- (a) propionaldehyde (b) phenylacetaldehyde
- (c) acetophenone (d) cyclohexanone.

Note: The carbonyl group plays two roles in this reaction:

- 1- It provides the unsaturated linkage at which the addition occurs (step 2).
- 2- It makes the α -hydrogen acidic enough for carbanion formation (step 1) to take place.

b)Acid-Catalyzed Aldol Condensations

Acid-catalyzed aldol condensations, generally give α,β -unsaturated carbonyl compounds as products; addition products cannot be isolated.

$$2\,H_{3}C - C - CH_{3} \xrightarrow{acid} \xrightarrow{H_{3}C} C = CH - C - CH_{3} + H_{2}O$$

$$0 \\ \parallel \\ C = CH - C - CH_{3} + H_{2}O$$

$$H_{3}C$$

$$mesityl oxide \\ (79\% yield)$$

In acid-catalyzed aldol condensations, the conjugate acid of the aldehyde or ketone is a key reactive intermediate.

$$:O: H \xrightarrow{C} \dot{O}H_{2}$$

$$:O: :O H \xrightarrow{H} \dot{O}H_{2}$$

$$:O H \xrightarrow{H} \dot{O}H_{2}$$

This protonated ketone plays two roles. First, it serves as a source of the *enol*. Second, the protonated ketone is the electrophilic species in the reaction. It reacts as an electrophile with the π electrons of the enol to give the conjugate acid of the addition product:

a second molecule of the protonated ketone

H₂C
$$\stackrel{\circ}{\longrightarrow}$$
CH₃

One molecule of the protonated ketone

H₂O $\stackrel{\circ}{\longrightarrow}$ H₃O+

 $\stackrel{\circ}{\longrightarrow}$ H $\stackrel{\circ}{\bigcirc}$ CH₃
 $\stackrel{\circ}{\longrightarrow}$ H $\stackrel{\circ}{\bigcirc}$ CH₃
 $\stackrel{\circ}{\longrightarrow}$

The loss of a proton gives the β -hydroxy ketone product. Under the acidic conditions, this material spontaneously undergoes acid-catalyzed dehydration to give an α, β -unsaturated carbonyl compound:

Let's contrast the species involved in the acid- and base-catalyzed aldol reactions.

ReactionNucleophileElectrophileBase-catalyzed aldol reactionenolate ionneutral carbonyl compoundAcid-catalyzed aldol condensationenolprotonated carbonyl compound

1.3.2.1 Dehydration of the Aldol Product

The β -hydroxyaldehydes or β -hydroxyketones that formed from aldol condensation are very easily dehydrated either by heating the basic solution of reaction or by a separate acid catalyzed reaction (which is the general procedure to prepare alkenes from alcohols).

Dehydration of aldol products will give compounds that have the carbon-carbon double bond between α - and β - carbon atoms in conjugation with the carbonyl group which is called α,β -unsaturated carbonyl compounds.

When the α , β -unsaturated carbonyl compound is further conjugated (π system) with a carbon–carbon double bond or a benzene ring, elimination of H_2O is spontaneous and the β -hydroxy carbonyl compound cannot be isolated.

For example:

1.3.2.2 Use of aldol condensation in synthesis:

Aldol condensation products can be used in the synthesis of a wide variety of organic compounds through their different reactions. For example:

1- Dehydration of aldol products will produce α,β -unsaturated carbonyl compounds (discussed previously).

2- Catalytic hydrogenation of α,β -unsaturated carbonyl compounds yields saturated alcohols, since addition of hydrogen occurring both at carbon-carbon and carbon-oxygen double bonds.

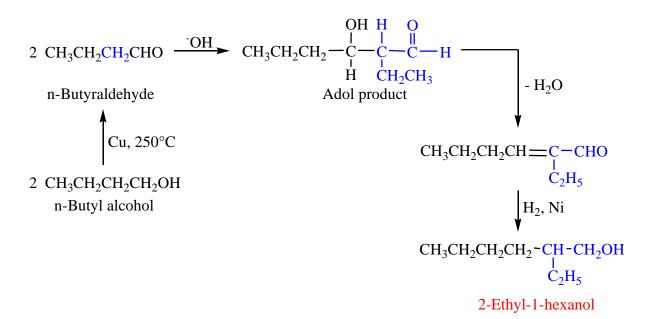
e.g.: n-Butyl alcohol and 2-Ethyl-1-hexanol are both prepared on an industrial scale as the following:

2
$$H_3C$$
— C — H \xrightarrow{OH} \xrightarrow{OH} H_3C — C — C — C — C — H $\xrightarrow{-H_2O}$ H_3CHC = $CHCHO$

Acetaldehyde Adol product 2-Butenal
$$\downarrow H_2, N_i$$

$$H_3CH_2C$$
— CH_2CH_2OH

$$n$$
-Butyl alcohol



Synthesis of unsaturated alcohols by the use of a reagent that reduce only the carbonyl group and leaves the carbon-carbon double bond intact (chemoselective reagent) like sodium borohydride $NaBH_4$

2 H₃C
$$\stackrel{O}{=}$$
C $\stackrel{O}{=}$ H $\stackrel{O}{=}$ CHCHO

Acetaldehyde Adol product 2-Butenal
$$\downarrow H^+, NaBH_4$$

$$H_3CHC = CHCH_2OH$$
2-Buten-1-ol

<u>Note</u>: Chemoselective reagent, A reagent that, in performing its particular job, selectively attacks one of several different functional groups.

1.3.2.3 Crossed aldol condensation:

An aldol condensation between two different carbonyl compounds is called crossed aldol condensation in which at least one of them have an α -hydrogen. A mixture of four possible products may be obtained.

For example:

Under certain conditions, a good yield of single product can be obtained from crossed aldol condensation:

- a) One reactant contains no α -hydrogen and therefore is incapable of condensing with itself (e.g. aromatic aldehydes or formaldehyde).
- b) This reactant is mixed with the catalyst; and then
- c) A carbonyl compound that contains α -hydrogen is added slowly to this mixture.

e.g.

HCHO + CH₃CHO sodium silicate
$$H - C - C - CHC$$
Formaldehyde Acetaldehyde $H + H - C - C - CHC$

$$\downarrow CrO_3^{-2}$$

$$H_2C = CHCHO$$
Acroline

1.3.3 Claisen condensation (formation of β -keto esters):

Aliphatic esters that contain at least one α -hydrogen undergoes condensation reaction in the presence of sodium ethoxide (as a base) to produce β -keto esters.

The typical example for this reaction is the formation of ethyl acetoacetate from ethyl acetate.

2
$$CH_3COOC_2H_5$$
 + NaOC₂H₅ \xrightarrow{EtOH} $\xrightarrow{H_3C-C-C-C-C-COOC_2H_5}$ Na + 2 $EtOH$

Ethyl acetate Sodium ethoxide Sodioacetoacetic ester

$$\downarrow H^+$$

$$CH_3COCH_2COOEt$$

$$\beta$$
-keto ester

An α -hydrogen in an ester is less acidic than those in aldehydes or ketones (due to the interaction between oxygen atoms in the ester group), however the ethoxide ion is basic enough to abstract this proton and convert the ester (partially) to carbanion (enolate).

The generally accepted mechanism for this reaction involves the following steps:

Ethoxide ion abstract a hydrogen from the α -carbon of the ester to form carbanion I (step1). The nucleophilic carbanion I attacks the carbonyl carbon of a second molecule of ester forming a tetrahedral intermediate to displace ethoxide ion and yield the keto ester (step2). The β -keto ester that formed in step 2 react with the ethoxide ion and form the sodium salt of the ester (sodioacetoacetic ester). So, to obtain the final product the resulting mixture must be acidified.

Like the aldol condensation, the reaction involves nucleophilic attack of a carbanion on an electron deficient carbonyl carbon.

In aldol condensation, nucleophilic attack leads to addition (the typical reaction of aldehydes & ketones).

In Claisen condensation, nucleophilic attack leads to substitution (the typical reaction of acyl compounds).

1.3.3.1 Crossed Claisen condensation:

Like crossed aldol condensation, a crossed Claisen condensation useful only when one of the reactant has no α -hydrogen and thus incapable of undergoing self-condensation.

e.g.

1.3.4 Reactions related to aldol condensation:

A large number of condensations that are closely related to the aldol condensation. Closer examination shows that these reactions involve attack of a carbanion on a carbonyl group. In each case the carbanion is generated by the abstraction of α -hydrogen by a base like sodium hydroxide, sodium ethoxide, sodium acetate or amines; on the other hand the carbonyl compound could be aldehyde, ketone, anhydride or ester.

1.3.4.1 Reformatsky reaction: Preparation of β-hydroxy esters

As we learned previously, carbanions can be produced by the abstraction of α -H from aldehydes, ketones or esters by the action of a base. These intermediates (carbanions) can be also produced by the reaction of alkyl halide with zinc metal to form organometallic compounds (e.g. Grignard reagent RMgX). The formation and subsequent reaction of the organozinc compound is similar to the formation and reaction of a Grignard reagent. Zinc is used in place of magnesium simply because the organozinc compounds are less reactive than Grignard reagents; they do not react with the ester function but only with the aldehyde or ketone.

This concept can be applied on esters, since the reaction of α -bromoester with zinc in the presence of aldehyde or ketone will produce β -hydroxy ester.

2)
$$\leftarrow$$
 CH₃ \rightarrow CH₃ \rightarrow CH₂O \rightarrow H₂O \rightarrow CHCO₂Et benzaldehyde α -Bromo ethyl propionate

The reaction proceeded through a mechanism in which the α -bromo ester reacts with zinc metal in dry ether to give the organo metallic intermediate which used directly and react with the carbonyl compound (aldehyde or ketone) to give the ordinary addition product that on hydrolysis produce the final product.

mechanism:

1)
$$BrCH_2CO_2Et$$
 \xrightarrow{Zn} $BrZnCH_2CO_2Et$

2) $BrZnCH_2CO_2Et$ $+$ H_3C \xrightarrow{C} $\xrightarrow{$

Compounds like α -halo nitrile (RX-CH-CN), α -halo-N,N-disubstituted amide and γ -halo vinyl ester have also been used.

 β -Hydroxy acids or their esters can loss water molecule to give α,β -unsaturated acids or esters which can be reduced to their corresponding saturated acids or esters, furthermore hydrolysis of esters produces their acids.

1.3.4.2 The Knoevenagel condensation:

This type of condensation involve the reaction between aldehydes or ketones (that contain no α -hydrogen) with compounds of the type Z-CH₂-Z or ZCHRZ' in the presence of suitable base to form the olefins as the following equation:

Z and Z' may be: (CHO, COR, COOR, CN, NO₂, SOR, SO₂R or SO₂OR).

Furthermore, other compounds that have α -hydrogen can be used like chloroform, 2-methyl pyridine, cyclopentadiene, ----etc.

For example:

1.3.4.3 Perkin reaction:

The reaction of aromatic aldehydes with anhydrides is called Perkin reaction. The product structure depends on the anhydride, since the use of an anhydride that has two α -hydrogens will produce olefin as a main product. On the other hand the salt of β -hydroxy acids can be isolated in the case of the use of an anhydride that contain one α -hydrogen like (R₂CHCO)₂O since there is no possibility to eliminate water molecule.

The base that used in this reaction is the sodium or potassium salt of the carboxylic acid that the anhydride is derived from.

e.g.:

1) ArCHO +
$$(RCH_{2}CO)_{2}O$$
 $\xrightarrow{RCH_{2}CO_{2}K}$ Ar \xrightarrow{I} \xrightarrow{I} \xrightarrow{I} $\xrightarrow{\Theta}$ + $RCH_{2}CO_{2}H$
2) PhCHO + $(CH_{3}CO)_{2}O$ $\xrightarrow{CH_{3}CO_{2}Na}$ Ph \xrightarrow{I} \xrightarrow

1.3.4.4 Cope reaction:

In this reaction, cyclohexanone react with ethyl cyanoacetate in the presence of ammonium acetate as a base, and benzene as a solvent.

e.g:
$$CH_{3}CO_{2}NH_{4}$$

$$CO_{2}Et$$

$$CO_{2}Et$$

Mechanisim:

1)
$$NC-CH_2CO_2Et + CH_3COONH_4 \longrightarrow NC-C-CO_2Et$$

3)
$$CH - CO_2Et$$

$$CN - CO_2Et$$

$$CN - CO_2Et$$

1.3.4.5 Wittig reaction:

In 1954, Georg Wittig (pronounced VIT-tig) reported a method of synthesizing alkenes from carbonyl compounds, which amounts to the replacement of carbonyl oxygen, =O, by the group =CRR'. The heart of the synthesis is the nucleophilic attack on carbonyl carbon by an ylide (pronounced IH-lid) to form a betaine which often spontaneously undergoes elimination to yield the product:

$$C = O + Ph_3P = C - R$$

$$An ylid$$

$$-O PPh_3$$

$$A betaine$$

$$R'$$

$$-O PPh_3$$

$$A betaine$$

$$R'$$

$$-O PPh_3$$

$$A betaine$$

$$C = C - R + Ph_3PO$$

Examples:

The reaction is carried out under mild conditions, and the position of the carbon-carbon double bond is not in doubt. The phosphorus ylides have hybrid structures, and it is the negative charge on carbon(the carbanion character of ylides). the positive charge is on phosphorus.

$$\begin{bmatrix} P_{h_3}P = C - R & \longrightarrow P_{h_$$

The preparation of ylides is a two-stage process: nucleophilic attack on an (primary or secondary) alkyl halide, and abstraction of a proton by a base:

Many different bases have been used chiefly alkoxides and organometallics and in a variety of solvents. For example :

Problem: Give the structure of an ylide and a carbonyl compound from which each of the following could be made:

(a)
$$CH_3CH_2CH_2CH_2CH_3$$
 (b) $C_6H_5C(CH_3)=CHCH_2C_6H_5$

The Wittig reaction is a powerful way to make an alkene because the reaction is completely regioselective only one alkene is formed. The Wittig reaction is the best way to make a terminal alkene.

What is the mechanism of the Wittig reaction?

The negatively polarized carbon in the ylide is nucleophilic and can attack the carbonyl group. The result is a phosphorus betaine. The betaine is short lived and rapidly forms a neutral (oxaphosphetane), characterized by a four-membered ring containing phosphorus and oxygen. This substance then decomposes to the product alkene and triphenylphosphine oxide. The driving force for the last step is the formation of the very strong phosphorus—oxygen double bond.

Mechanism of the Wittig Reaction:

$$(C_6H_5)_3P \xrightarrow{\qquad \qquad } RCH = C \xrightarrow{\qquad \qquad } R' + (C_6H_5)_3P = O$$

(Oxaphosphetane)

Note:

(In some cases, the oxaphosphetane may be formed directly by a cycloaddition, rather than via a betaine.)

Wittig reactions can be carried out in the presence of ether, ester, halogen, alkene, and alkyne functions.

2. Carbanion II

In this chapter we shall continue our study of carbanion chemistry, with emphasis on the attachment of alkyl groups to the α -carbons of carbonyl and acyl compounds. Such alkylation reactions owe their great importance to the special nature of the carbonyl group, and in two ways. First, the carbonyl group makes α -hydrogens acidic, so that alkylation can take place. Next, the products obtained still contain the carbonyl group and hence are highly reactive; they are ideal intermediates for further molecule-building.

2.1 Malonic ester synthesis of carboxylic acids

most valuable methods of preparing carboxylic acids makes use of ethyl malonate (malonic ester), $CH_2(COOC_2H_5)_2$, and is called the malonic ester synthesis. This synthesis depends upon :

Malonic ester contains α -hydrogens that are particularly acidic: they are alpha to two carbonyl groups. When treated with sodium ethoxide in absolute alcohol, malonic ester is converted largely into its salt, sodiomalonic ester(carbanion):

$$H-CH(COOC_2H_5)_2 + Na^{+-}OC_2H_5$$
 \rightleftharpoons $CH(COOC_2H_5)_2^{-}Na^{+} + H-OC_2H_5$
Stronger acid Sodiomalonic ester Weaker acid

Reaction of this salt with an alkyl halide yields a substituted malonic ester, an ethyl alkylmalonate:

(malonic ester)

Diethyl propanedioate Sodio malonic ester An alkylated malonic ester

The alkylmalonic ester still contains one acidic α -hydrogen, and on treatment with sodium ethoxide it, too, can be converted into its salt; this salt can react with an alkyl halide to yield a dialkylmalonic ester:

An alkylated malonic ester

A dialkylated malonic ester

As we might expect, gives highest yields with primary alkyl halides, lower yields with secondary alkyl halides, and is worthless for tertiary alkyl halides and for aryl halides.

How can these substituted malonic esters be used to make carboxylic acids?

A method for preparing a carboxylic acid from an alkyl halide while lengthening the carbon chain by two atoms.

monoalkyl- and dialkylmalonic esters are readily converted into monocarboxylic acids by hydrolysis, acidification, and heat:

$$\begin{array}{c|cccc} COOC_2H_5 & COO^- & COOH \\ \hline R-CH & \xrightarrow{H_2O_1OH^-} & R-CH & \xrightarrow{H^+} & R-CH \\ \hline COOC_2H_5 & COO^- & COOH \\ \hline A monoalkylmalonic ester & & \downarrow heat, 140 °C \\ \hline \hline R-CH_2COOH + CO_2 \\ \hline A monosubstituted acetic acid \\ \hline \end{array}$$

$$\begin{array}{c|c} COOC_2H_5 & COO^- & COOH \\ \hline R-C-R' & \xrightarrow{H_2O, OH^-} & R-C-R' & \xrightarrow{H^+} & R-C-R' \\ \hline COOC_2H_5 & COO^- & COOH \\ \hline A dialkylmalonic ester & \downarrow heat, 140 °C \\ \hline R-CHCOOH \\ \hline A disubstituted acetic acid \\ \hline \end{array}$$

As noted previously, the overall effect of the malonic ester synthesis is to convert an alkyl halide into a carboxylic acid while lengthening the carbon chain by two atoms $(RX \rightarrow RCH_2CO_2H)$.

$$\begin{array}{c} \text{CH}_3\text{CH}_2\text{CH}_2\text{CH}_2\text{Br} \\ \text{1-Bromobutane} \\ + \\ \text{EtO}_2\text{C} \\ \text{CO}_2\text{Et} \\ \text{H} \\ \text{H} \\ \end{array} \begin{array}{c} \text{Na}^+ \text{-OEt} \\ \text{EtOH} \\ \end{array} \begin{array}{c} \text{EtO}_2\text{C} \\ \text{CH}_3\text{CH}_2\text{CH}_2\text{CH}_2 \\ \text{Heat} \\ \end{array} \begin{array}{c} \text{CH}_3\text{CH}_2\text{CH$$

Problem: prepare 4- methylpentanoic acid and 2-methyl butanoic acid by the malonic ester synthesis?

2.2 Acetoacetic ester synthesis of ketones

One of the most valuable methods of preparing ketones makes use of ethyl acetoacetate (acetoacetic ester), $CH_3COCH_2COOC_2H_5$, and is called the acetoacetic ester synthesis of ketones.

Acetoacetic ester is converted by sodium ethoxide into the sodioacetoacetic ester, which is then allowed to react with an alkyl halide form an alkylacetoacetic ester, CH₃COCHRCOOC₂H₅. The alkylation can be repeated to yield a dialkylacetoacetic ester, CH₃COCRR'COOC₂H₅, all alkylations are conducted in absolute alcohol.

These monoalkyl or dialkylacetoacetic esters yield the corresponding acids, CH₃COCHRCOOH or CH₃COCRR'COOH by hydrolysis, which undergo decarboxylation to form ketones* CH₃COCH₂R or CH₃COCHRR':

$$CH_{3}COCHCOOC_{2}H_{5}^{-} \stackrel{OC,H_{5}}{\longleftarrow} CH_{3}COCH_{2}COOC_{2}H_{5}$$

$$Acetoacetic ester$$

$$CH_{3}COCHCOOC_{2}H_{5} \stackrel{OH^{-}}{\longrightarrow} CH_{3}COCHCOO^{-} \stackrel{H_{3}O \text{ or } H^{+}}{\longrightarrow} CH_{3}COCHCOOH$$

$$R$$

$$A \text{ monoalkylacetoacetic ester}$$

$$CH_{3}COCCOOC_{2}H_{5}^{-} \stackrel{OH^{-}}{\longrightarrow} CH_{3}COCCOO^{-} \stackrel{H_{3}O \text{ or } H^{+}}{\longrightarrow} CH_{3}COCCOOH$$

$$R$$

$$CH_{3}COCCOOC_{2}H_{5} \stackrel{OH^{-}}{\longrightarrow} CH_{3}COCCOO^{-} \stackrel{H_{3}O \text{ or } H^{+}}{\longrightarrow} CH_{3}COCCOOH$$

$$R$$

$$A \text{ dialkylacetoacetic ester}$$

$$CH_{3}COCCOOC_{2}H_{5} \stackrel{OH^{-}}{\longrightarrow} CH_{3}COCCOOH$$

$$R$$

$$R$$

$$A \text{ dialkylacetoacetic ester}$$

$$CH_{3}COCCOOH$$

$$R$$

$$R$$

$$A \text{ dialkylacetoacetic ester}$$

Problem: prepare 5-methyl-2-hexanone and 3-methyl-2-hexanone by the acetoacetic ester synthesis?

Problem: Why can the acetoacetic ester synthesis not be used for the preparation of methyl –tertbutyl ketone?

2.3 Decarboxylation of β-keto acids and malonic acids:

The acetoacetic ester and malonic ester synthesis depends on:

- a) high acidity of the α -hydrogens.
- b) more easeily to decarboxylalion.

We have seen that the higher acidity of the α -hydrogens is due to the ability of the keto group to help accommodate the negative charge of the acetoacetic ester anion. Decarboxylation of β -keto acids involves both the free acid and the carbbxylate anion. Loss of carbon dioxide from the anion:

Decarboxylation of free acetoacetic acid involves transfer of the acidic hydrogen to the keto group, either prior to (as shown here) or simultaneously with loss of carbon dioxide:

$$CH_{3}-C-CH_{2}-COO \longrightarrow CH_{3}-C-CH_{2}+COO$$

$$OH \longrightarrow OH$$

$$CH_{3}-C-CH_{2}+COO$$

$$CH_{3}-C-CH_{3}$$

$$CH_{3}-C-CH_{3}$$

2.4 Synthesis of acids and esters via 2-oxazolines:

Reaction of a carboxylic acid with 2-amino-2-methyl-l-propanol yields a heterocyclic compound called a 2-oxazoline (I). This compound the acid can be regenerated, in the form of its ethyl ester, by ethanolysis:

Using this way to protect the carboxyl group. Treatment of the 2-oxazoline with the strong base, n-butyllithium, yields the lithio derivative II. This, can be alkylated and, if desired, re-alkylated up to a total of two substituents on the α -carbon. Ethanolysis of the new 2-oxazoline yields the substituted ester.

The synthesis depends on:

- (a) the ease of formation and hydrolysis of 2-oxazolines.
- (b) the fact that the α -hydrogens retain their acidity in the oxazoline ring.
- (c) the inertness of the 2-oxazoline ring toward the lithio derivative. (The ring is inert toward the Grignard reagent as well, and can be used to protect the carboxyl group in a wide variety of syntheses.).

2.5 Alkylation of carbonyl compounds via enamines:

As we might expect, amines react with carbonyl compounds by nucleophilic addition. If the amine is primary, the initial addition product undergoes dehydration to form a compound containing a carbon nitrogen double bond, an imine:

$$C=O + H_2NR' \longrightarrow C=NR'$$

A 1° amine

An imine

Elimination occurs with this orientation even if the carbonyl compound contains an α -hydrogen: that is, the preferred product is the <u>imine rather than</u> the <u>enamine</u> (ene for the carbon-carbon double bond, amine for the amino group). If some enamine should be formed initially it rapidly tautomerizes into the more stable imino form:

A secondary amine, too, can react with a carbonyl compound, and to yield the same kind of initial product. But here there is no hydrogen left on nitrogen; if dehydration is to occur, it must be in the other direction, to form a carbon carbon double bond. A stable enamine is the product:

$$-\overset{\mathsf{R'}}{\mathsf{C}} - \overset{\mathsf{R'}}{\mathsf{C}} = \mathsf{O} + \overset{\mathsf{R'}}{\mathsf{R'}} \mathsf{NH} \longrightarrow -\overset{\mathsf{R'}}{\mathsf{C}} - \overset{\mathsf{R'}}{\mathsf{C}} - \mathsf{N} - \mathsf{R'} \longrightarrow -\overset{\mathsf{R'}}{\mathsf{C}} = \mathsf{C} - \mathsf{N} - \mathsf{R'}$$

$$\overset{\mathsf{R'}}{\mathsf{H}} \qquad \overset{\mathsf{R'}}{\mathsf{NH}} \longrightarrow -\overset{\mathsf{R'}}{\mathsf{C}} = \mathsf{C} - \mathsf{N} - \mathsf{R'}$$

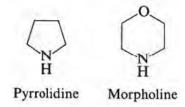
$$\overset{\mathsf{R'}}{\mathsf{H}} \qquad \mathsf{N} = \mathsf{C} = \mathsf{C} - \mathsf{N} - \mathsf{R'}$$

$$\overset{\mathsf{R'}}{\mathsf{H}} \qquad \mathsf{N} = \mathsf{N} =$$

Enamines contain nucleophilic carbon, could be used in the alkylation and acylation of aldehydes and ketones:

The product of alkylation is an iminium ion, which is readily hydrolyzed to regenerate the carbonyl group. The overall process, then, is:

Commonly used secondary amines are the heterocyclic compounds pyrrolidine and morpholine:



Best yields are obtained with reactive halides like benzyl and allyl halides, α -halo esters, and α -halo ketones. For example:

Cyclohexanone Pyrrolidine An enamine An iminium ion

$$\begin{array}{c}
CH_2 = CHCH_2CI \\
H_2O, H^+
\end{array}$$

$$\begin{array}{c}
CH_2 = CHCH_2CI \\
CH_2 = CHCH_2CI \\
CH_2CH = CH_2
\end{array}$$

$$\begin{array}{c}
CH_2CH = CH_2
\end{array}$$

$$\begin{array}{c}
CH_2CH = CH_2
\end{array}$$

$$\begin{array}{c}
CH_2COOEt \\
CH_2COOEt
\end{array}$$

$$\begin{array}{c}
CH_2COOEt \\
CH_2COOEt
\end{array}$$

$$\begin{array}{c}
CH_2COOEt \\
CH_2COOEt
\end{array}$$

$$\begin{array}{c}
CH_2COOEt
\end{array}$$

Problem:Outline all steps in the preparation of each of the following by the enamine synthesis:

(a) 2-benzylcyclohexanone (b) 2,2-dimethyl pentanal (c) 2-ethyl butanal